



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,654	02/05/2001	Stephane Herman Maes	YOR919990542US1

CONFIRMATION NO. 2530

## FORMALITIES LETTER



\*OC00000006090124\*

Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*T. W. H. S. M. L.*  
Customer Service Center

07/18/2001 KZENDIE 00000063 500510 09776654  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



07-16-01

Attorney Docket No. YOR919990542US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): S.H. Maes et al.  
Docket No.: YOR919990542US  
Serial No.: 09/776,654  
Filing Date: February 5, 2001  
Group: 2878  
Examiner: Unassigned

"Express Mail" Label No. EL7935173774S

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: July 13, 2001

Signature: William E. Lewis

Title: System and Method for Multi-Modal Focus Detection,  
Referential Ambiguity Resolution and Mood Classification  
Using Multi-Modal Input

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

Sir:

In response to the Notice to File Missing Parts dated May 18, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (14 sheets).

A copy of the Notice is enclosed herewith. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

William E. Lewis

William E. Lewis  
Reg. No. 39,274

Attorney for Applicant(s)  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, New York 11560  
(516) 759-2946

Date: July 13, 2001



#4

Attorney Docket No. YOR919990542US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): S.H. Maes et al.  
Docket No.: YOR919990542US  
Serial No.: 09/776,654  
Filing Date: February 5, 2001  
Group: 2878  
Examiner: Unassigned

"Express Mail" Label No. EL4935173 9745  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: July 13, 2001

Signature: Judge. Ryan

Title: System and Method for Multi-Modal Focus Detection,  
Referential Ambiguity Resolution and Mood Classification  
Using Multi-Modal Input

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated May 18, 2001, Applicants submit herewith fourteen (14) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

William E. Lewis  
William E. Lewis  
Reg. No. 39,274

Attorney for Applicant(s)  
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90 Forest Avenue  
Locust Valley, New York 11560  
(516) 759-2946

Date: July 13, 2001